

## Neutrino oscillations in Quantum Mechanics\*

- massive neutrinos.
- mixing: fields which enter in weak interaction Lagrangian do not have definite masses  $\Leftrightarrow$  mass term not diagonal.
- for two flavors, we have:

$$|\nu_e\rangle = \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle$$

$$|\nu_\mu\rangle = -\sin\theta |\nu_1\rangle + \cos\theta |\nu_2\rangle$$

\*S.M.Bilenky and B.Pontecorvo, Phys. Rep. (1978)

- Hamiltonian diagonal in the mass eigenstates  $\Rightarrow$  time evolution:

$$|\nu_e(t)\rangle = \cos\theta e^{-i\omega_1 t} |\nu_1\rangle + \sin\theta e^{-i\omega_2 t} |\nu_2\rangle$$

Flavor oscillations:

$$P_{e \rightarrow e}(t) = |\langle \nu_e | \nu_e(t) \rangle|^2 = 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta\omega}{2} t \right)$$

$$P_{e \rightarrow \mu}(t) = |\langle \nu_\mu | \nu_e(t) \rangle|^2 = \sin^2 2\theta \sin^2 \left( \frac{\Delta\omega}{2} t \right)$$

Flavor conservation:

$$|\langle \nu_e | \nu_e(t) \rangle|^2 + |\langle \nu_\mu | \nu_e(t) \rangle|^2 = 1$$

Consider production process (pion decay):

$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

and assume that all mass components of  $\nu_\mu$  are created with the same momentum. Then we could naively associate to  $|\nu_{\mathbf{k},\mu}\rangle$  an energy:

$$E_\mu(k) \equiv \langle \nu_{\mathbf{k},\mu} | H | \nu_{\mathbf{k},\mu} \rangle = \omega_{k,2} \cos^2 \theta + \omega_{k,1} \sin^2 \theta$$

with  $\omega_{k,i} = \sqrt{k^2 + m_i^2}$  and talk about modified dispersion relations...

- However, this is not correct since the equal momentum assumption can be valid only in a particular Lorentz frame. In general, different massive neutrinos have different energies and momenta\*.
- Fundamental entities are the mass eigenstates: In a production process, energy-momentum conservation is assumed for each mass component and either  $|\nu_1\rangle$  or  $|\nu_2\rangle$  are produced each time.

\*C.Giunti, Mod.Phys.Lett.A (2001)

- The usual treatment of neutrinos ignores quantum field theory.
- Neutrino oscillation are only calculated for relativistic neutrinos but e. g. early universe neutrinos are non-relativistic.

## Flavor mixing in Quantum Field Theory

Consider mixing relations for two Dirac fields

$$\nu_e(x) = \nu_1(x) \cos \theta + \nu_2(x) \sin \theta$$

$$\nu_\mu(x) = -\nu_1(x) \sin \theta + \nu_2(x) \cos \theta$$

$\nu_1, \nu_2$  are fields with definite masses.

The above mixing transformations connect the two quadratic forms:

$$\mathcal{L} = \bar{\nu}_1 (i \not{\partial} - m_1) \nu_1 + \bar{\nu}_2 (i \not{\partial} - m_2) \nu_2$$

and

$$\mathcal{L} = \bar{\nu}_e (i \not{\partial} - m_e) \nu_e + \bar{\nu}_\mu (i \not{\partial} - m_\mu) \nu_\mu - m_{e\mu} (\bar{\nu}_e \nu_\mu + \bar{\nu}_\mu \nu_e)$$

with  $m_e = m_1 \cos^2 \theta + m_2 \sin^2 \theta$ ,  $m_\mu = m_1 \sin^2 \theta + m_2 \cos^2 \theta$ ,  $m_{e\mu} = (m_2 - m_1) \sin \theta \cos \theta$ .

$\nu_i$  ( $i = 1, 2$ ) are free Dirac field operators:

$$\nu_i(x) = \sum_{\mathbf{k}, r} \frac{e^{i\mathbf{k}\cdot\mathbf{x}}}{\sqrt{V}} [u_{\mathbf{k}, i}^r(t) \alpha_{\mathbf{k}, i}^r + v_{-\mathbf{k}, i}^r(t) \beta_{-\mathbf{k}, i}^{r\dagger}]$$

with  $u_{\mathbf{k}, i}^r(t) = e^{-i\omega_{k, i}t} u_{\mathbf{k}, i}^r$ ,  $v_{\mathbf{k}, i}^r(t) = e^{i\omega_{k, i}t} v_{\mathbf{k}, i}^r$  and  $\omega_{k, i} = \sqrt{k^2 + m_i^2}$ .

Anticommutation relations:

$$\{\nu_i^\alpha(x), \nu_j^{\beta\dagger}(y)\}_{t=t'} = \delta^3(\mathbf{x} - \mathbf{y}) \delta_{\alpha\beta} \delta_{ij}$$

$$\{\alpha_{\mathbf{k}, i}^r, \alpha_{\mathbf{q}, j}^{s\dagger}\} = \delta_{\mathbf{k}\mathbf{q}} \delta_{rs} \delta_{ij} \quad ; \quad \{\beta_{\mathbf{k}, i}^r, \beta_{\mathbf{q}, j}^{s\dagger}\} = \delta_{\mathbf{k}\mathbf{q}} \delta_{rs} \delta_{ij}$$

Orthonormality and completeness relations:

$$u_{\mathbf{k}, i}^{r\dagger} u_{\mathbf{k}, i}^s = v_{\mathbf{k}, i}^{r\dagger} v_{\mathbf{k}, i}^s = \delta_{rs} \quad , \quad u_{\mathbf{k}, i}^{r\dagger} v_{-\mathbf{k}, i}^s = 0 \quad , \quad \sum_r (u_{\mathbf{k}, i}^{r\alpha*} u_{\mathbf{k}, i}^{r\beta} + v_{-\mathbf{k}, i}^{r\alpha*} v_{-\mathbf{k}, i}^{r\beta}) = \delta_{\alpha\beta} .$$

Fock space for  $\nu_1, \nu_2$ :

$$\mathcal{H}_{1,2} = \{\alpha_{1,2}^\dagger, \beta_{1,2}^\dagger, |0\rangle_{1,2}\} .$$

## Generator of mixing transformations

Mixing relations can be written as\*

$$\nu_e^\alpha(x) = G_\theta^{-1}(t) \nu_1^\alpha(x) G_\theta(t)$$

$$\nu_\mu^\alpha(x) = G_\theta^{-1}(t) \nu_2^\alpha(x) G_\theta(t)$$

with generator given by:

$$G_\theta(t) = \exp[\theta (S_+(t) - S_-(t))]$$

$$S_+(t) \equiv \int d^3\mathbf{x} \nu_1^\dagger(x) \nu_2(x) \quad , \quad S_-(t) \equiv \int d^3\mathbf{x} \nu_2^\dagger(x) \nu_1(x)$$

– similar results for bosons<sup>†</sup>

\*M. Blasone and G. Vitiello, *Annals Phys.* (1995)

†M. Blasone, A. Capolupo, O. Romei and G. Vitiello, *Phys. Rev. D* (2001).

# The mixing of the creation and annihilation operators I

- The field operators can be expanded in terms of creation and annihilation operators

$$\hat{v}_i(x) = \frac{1}{\sqrt{V}} \sum_{\mathbf{p},r} \left( a_{\mathbf{p},i}^r(t) u_{\mathbf{p},i}^r + b_{-\mathbf{p},i}^{r\dagger}(t) v_{-\mathbf{p},i}^r \right) e^{i\mathbf{p}\cdot\mathbf{x}}$$

$$\hat{v}_\sigma(x) = \frac{1}{\sqrt{V}} \sum_{\mathbf{p},r} \left( a_{\mathbf{p},\sigma}^r(t) u_{\mathbf{p},\sigma}^r + b_{-\mathbf{p},\sigma}^{r\dagger}(t) v_{-\mathbf{p},\sigma}^r \right) e^{i\mathbf{p}\cdot\mathbf{x}}$$

- The operators are mixed via

$$\begin{pmatrix} a_{\mathbf{p},e}^r(t) \\ a_{\mathbf{p},\mu}^r(t) \\ b_{-\mathbf{p},e}^{r\dagger}(t) \\ b_{-\mathbf{p},\mu}^r(t) \end{pmatrix} = \hat{G}^{-1}(\theta, t) \begin{pmatrix} a_{\mathbf{p},1}^r(t) \\ a_{\mathbf{p},2}^r(t) \\ b_{-\mathbf{p},1}^{r\dagger}(t) \\ b_{-\mathbf{p},2}^{r\dagger}(t) \end{pmatrix} \hat{G}(\theta, t)$$



- The relation

$$0 = {}_{1,2}\langle 0 | a_{\mathbf{p},i}^r(t) | 0 \rangle_{1,2} = {}_{1,2}\langle 0 | \hat{G}(\theta, t) a_{\mathbf{p},\sigma}^r(t) \hat{G}^{-1}(\theta, t) | 0 \rangle_{1,2}$$

allows the definition of a vacuum for the flavor neutrinos

$$|0(\theta, t)\rangle_{e,\mu} = \hat{G}^{-1}(\theta, t) |0\rangle_{1,2}.$$

- This is similarly possible for all Fock-space state

$$|\nu_e(\theta, t)\rangle_{e,\mu} = \hat{G}^{-1}(\theta, t) |\nu_1\rangle_{1,2}.$$

The vacuum  $|0\rangle_{1,2}$  is not invariant under the action of the generator  $G_\theta(t)$ :

$$|0(t)\rangle_{e,\mu} \equiv G_\theta^{-1}(t) |0\rangle_{1,2} = e^{-\theta(S_+(t)-S_-(t))} |0\rangle_{1,2}$$

The vacuum  $|0(t)\rangle_{e,\mu}$  is a  $SU(2)$  generalized coherent state.\*

Relation between  $|0\rangle_{1,2}$  and  $|0(t)\rangle_{e,\mu}$ : **orthogonality!** (for  $V \rightarrow \infty$ )

$${}_{1,2}\langle 0|0(t)\rangle_{e,\mu} = \prod_{\mathbf{k}} \left(1 - \sin^2 \theta |V_{\mathbf{k}}|^2\right)^2$$

$$\lim_{V \rightarrow \infty} {}_{1,2}\langle 0|0(t)\rangle_{e,\mu} = \lim_{V \rightarrow \infty} e^{V \int \frac{d^3\mathbf{k}}{(2\pi)^3} \ln(1 - \sin^2 \theta |V_{\mathbf{k}}|^2)^2} = 0$$

with

$$|V_{\mathbf{k}}|^2 \equiv \sum_{r,s} |v_{-\mathbf{k},1}^{r\dagger} u_{\mathbf{k},2}^s|^2, \quad 0 \leq |V_{\mathbf{k}}|^2 \leq \frac{1}{2}$$

\*A. Perelomov, *Generalized Coherent States and Their Applications*, (Springer-Verlag, Berlin, 1986)

# Properties of the Fock space

- The flavor vacuum is a condensate of mass neutrinos

$$|0(\theta, t)\rangle_{e,\mu} = \hat{G}^{-1}(\theta, t)|0\rangle_{1,2}.$$

- The infinite space limit leads to a separation of the flavor and mass Fock spaces

$$\lim_{V \rightarrow \infty} {}_{1,2} \langle 0 | 0(\theta, t) \rangle_{e,\mu} = \lim_{V \rightarrow \infty} {}_{1,2} \langle 0 | \hat{G}^{-1}(\theta, t) | 0 \rangle_{1,2} = 0$$

- ⇒ The Fock spaces for flavor and mass neutrinos are unitarily inequivalent.
- ⇒  $\hat{G}(\theta, t)$  is an improper unitary operator.

Condensate structure of  $|0\rangle_{e,\mu}$  (use  $\epsilon^r = (-1)^r$ )

$$|0\rangle_{e,\mu} = \prod_{\mathbf{k},r} \left[ (1 - \sin^2 \theta |V_{\mathbf{k}}|^2) - \epsilon^r \sin \theta \cos \theta |V_{\mathbf{k}}| (\alpha_{\mathbf{k},1}^{r\dagger} \beta_{-\mathbf{k},2}^{r\dagger} + \alpha_{\mathbf{k},2}^{r\dagger} \beta_{-\mathbf{k},1}^{r\dagger}) \right. \\ \left. + \epsilon^r \sin^2 \theta |V_{\mathbf{k}}| |U_{\mathbf{k}}| (\alpha_{\mathbf{k},1}^{r\dagger} \beta_{-\mathbf{k},1}^{r\dagger} - \alpha_{\mathbf{k},2}^{r\dagger} \beta_{-\mathbf{k},2}^{r\dagger}) + \sin^2 \theta |V_{\mathbf{k}}|^2 \alpha_{\mathbf{k},1}^{r\dagger} \beta_{-\mathbf{k},2}^{r\dagger} \alpha_{\mathbf{k},2}^{r\dagger} \beta_{-\mathbf{k},1}^{r\dagger} \right] |0\rangle_{1,2}$$

- 4 kinds of particle-antiparticle pairs with zero momentum and spin.
- Condensation density:

$${}_{e,\mu} \langle 0(t) | \alpha_{\mathbf{k},1}^{r\dagger} \alpha_{\mathbf{k},1}^r | 0(t) \rangle_{e,\mu} = \sin^2 \theta |V_{\mathbf{k}}|^2$$

vanishing for  $m_1 = m_2$  and/or  $\theta = 0$  (in both cases no mixing). Same result for  $\alpha_2, \beta_1, \beta_2$ .

Structure of the annihilation operators for  $|0(t)\rangle_{e,\mu}$ :

$$\alpha_{\mathbf{k},e}^r(t) = \cos \theta \alpha_{\mathbf{k},1}^r + \sin \theta \left( U_{\mathbf{k}}^*(t) \alpha_{\mathbf{k},2}^r + \epsilon^r V_{\mathbf{k}}(t) \beta_{-\mathbf{k},2}^{r\dagger} \right)$$

$$\alpha_{\mathbf{k},\mu}^r(t) = \cos \theta \alpha_{\mathbf{k},2}^r - \sin \theta \left( U_{\mathbf{k}}(t) \alpha_{\mathbf{k},1}^r - \epsilon^r V_{\mathbf{k}}(t) \beta_{-\mathbf{k},1}^{r\dagger} \right)$$

$$\beta_{-\mathbf{k},e}^r(t) = \cos \theta \beta_{-\mathbf{k},1}^r + \sin \theta \left( U_{\mathbf{k}}^*(t) \beta_{-\mathbf{k},2}^r - \epsilon^r V_{\mathbf{k}}(t) \alpha_{\mathbf{k},2}^{r\dagger} \right)$$

$$\beta_{-\mathbf{k},\mu}^r(t) = \cos \theta \beta_{-\mathbf{k},2}^r - \sin \theta \left( U_{\mathbf{k}}(t) \beta_{-\mathbf{k},1}^r + \epsilon^r V_{\mathbf{k}}(t) \alpha_{\mathbf{k},1}^{r\dagger} \right)$$

Mixing transformation = Rotation ( $\cos \theta$ ,  $\sin \theta$ ) + Bogoliubov transformation ( $U_{\mathbf{k}}$ ,  $V_{\mathbf{k}}$ ).

Bogoliubov coefficients:

$$U_{\mathbf{k}}(t) = u_{\mathbf{k},2}^{r\dagger} u_{\mathbf{k},1}^r e^{i(\omega_{k,2} - \omega_{k,1})t} \quad ; \quad V_{\mathbf{k}}(t) = \epsilon^r u_{\mathbf{k},1}^{r\dagger} v_{-\mathbf{k},2}^r e^{i(\omega_{k,2} + \omega_{k,1})t}$$

$$|U_{\mathbf{k}}|^2 + |V_{\mathbf{k}}|^2 = 1$$

# The mixing of the creation and annihilation operators II

- The mixing can be written as the matrix

$$\begin{pmatrix} a_{p,\epsilon}^r(t) \\ a_{p,\mu}^r(t) \\ b_{-p,\epsilon}^{r\dagger}(t) \\ b_{-p,\mu}^{r\dagger}(t) \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta U_p & 0 & (-1)^r \sin \theta V_p \\ -\sin \theta U_p & \cos \theta & (-1)^r \sin \theta V_p & 0 \\ 0 & -(-1)^r \sin \theta V_p & \cos \theta & \sin \theta U_p \\ -(-1)^r \sin \theta V_p & 0 & -\sin \theta U_p & \cos \theta \end{pmatrix} \begin{pmatrix} a_{p,1}^r(t) \\ a_{p,2}^r(t) \\ b_{-p,1}^{r\dagger}(t) \\ b_{-p,2}^{r\dagger}(t) \end{pmatrix}$$

$$\text{with } U_p^2 + V_p^2 = 1$$

- For large momenta the matrix can be simplified

$$\mathbf{p} \gg \sqrt{m_1 m_2} \quad U_p \rightarrow 1, V_p \rightarrow 0$$

$\Rightarrow$  For realistic experiments the matrix reduces to the standard one

$$U_{\mathbf{k}}(t) = u_{\mathbf{k},2}^{r\dagger}(t)u_{\mathbf{k},1}^r(t) = |U_{\mathbf{k}}|e^{i(\omega_2-\omega_1)t},$$

$$|U_{\mathbf{k}}| = \left(\frac{\omega_1 + m_1}{2\omega_1}\right)^{\frac{1}{2}} \left(\frac{\omega_2 + m_2}{2\omega_2}\right)^{\frac{1}{2}} \left(1 + \frac{|\mathbf{k}|^2}{(\omega_1 + m_1)(\omega_1 + m_2)}\right).$$

$$V_{\mathbf{k}}(t) = (-1)^r u_{\mathbf{k},1}^{r\dagger}(t)v_{-\mathbf{k},2}^r(t) = |V_{\mathbf{k}}|e^{i(\omega_2+\omega_1)t},$$

$$|V_{\mathbf{k}}| = \left(\frac{\omega_1 + m_1}{2\omega_1}\right)^{\frac{1}{2}} \left(\frac{\omega_2 + m_2}{2\omega_2}\right)^{\frac{1}{2}} \left(\frac{|\mathbf{k}|}{\omega_2 + m_2} - \frac{|\mathbf{k}|}{\omega_1 + m_1}\right).$$

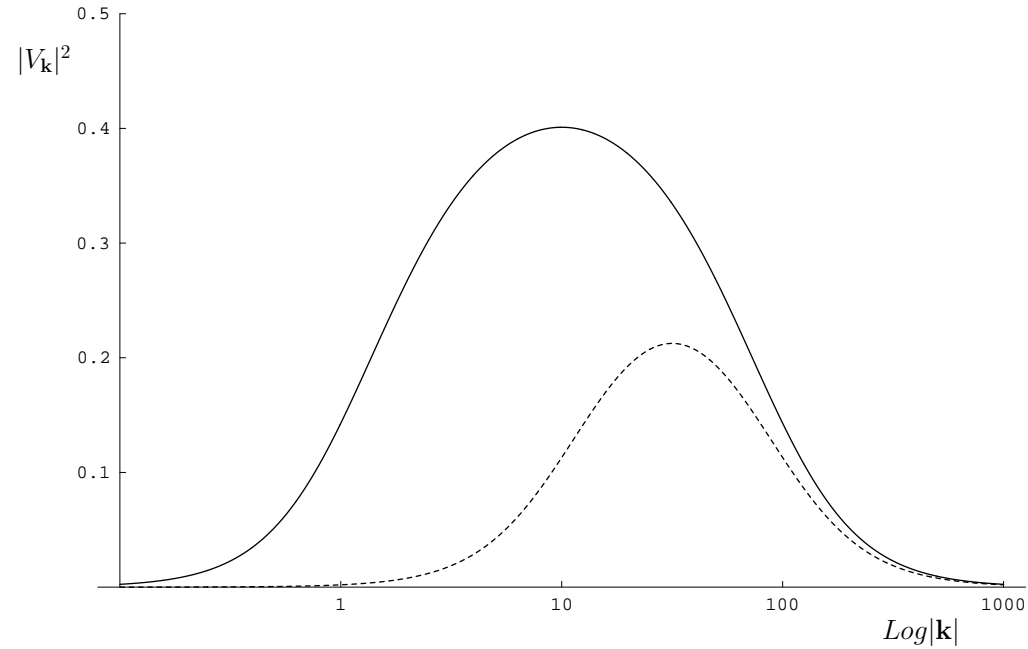
- The term with the Bogoliubov coefficient  $|V_{\mathbf{k}}|^2$  is absent in the usual Pontecorvo case.
- The maximum of the function  $|V_{\mathbf{k}}|^2$  occurs for  $k_{max} = \sqrt{m_1 m_2}$ :

$$|V_{k_{max}}|^2 = \frac{1}{2} - \frac{1}{\sqrt{(1 + \frac{m_1}{m_2})(1 + \frac{m_2}{m_1})}}$$

The condition  $|V_{\mathbf{k}}|^2 \ll \frac{1}{2}$  can be then realized and the Pontecorvo dispersion relations taken to be approximately valid.



## Condensation density for mixed fermions



Solid line:  $m_1 = 1, m_2 = 100$ ; Dashed line:  $m_1 = 10, m_2 = 100$ .

- $V_{\mathbf{k}} = 0$  when  $m_1 = m_2$  and/or  $\theta = 0$ .
- Max. at  $k = \sqrt{m_1 m_2}$  with  $V_{max} \rightarrow \frac{1}{2}$  for  $\frac{(m_2 - m_1)^2}{m_1 m_2} \rightarrow \infty$ .
- $|V_{\mathbf{k}}|^2 \simeq \frac{(m_2 - m_1)^2}{4k^2}$  for  $k \gg \sqrt{m_1 m_2}$ .

## Currents and charges for mixed fermions \*

Let us consider the following Lagrangian

$$\mathcal{L} = \bar{\Psi}_m (i \not{\partial} - M_d) \Psi_m$$

where  $\Psi_m^T = (\nu_1, \nu_2)$  and  $M_d = \text{diag}(m_1, m_2)$ .

- $\mathcal{L}$  is invariant under global  $U(1)$  with conserved (Noether) charge  $Q =$  total charge.

Consider now the  $SU(2)$  transformation:

$$\Psi'_m = e^{i\alpha_j \tau_j} \Psi_m \quad j = 1, 2, 3.$$

with  $\tau_j = \sigma_j/2$  and  $\sigma_j$  being the Pauli matrices.

\*M.Blasone, P.Jizba and G.Vitiello, Phys. Lett. B (2001)

The associated currents are:

$$\delta\mathcal{L} = i\alpha_j \bar{\Psi}_m [\tau_j, M_d] \Psi_m = -\alpha_j \partial_\mu J_{m,j}^\mu$$
$$J_{m,j}^\mu = \bar{\Psi}_m \gamma^\mu \tau_j \Psi_m$$

– The charges  $Q_{m,j}(t) \equiv \int d^3x J_{m,j}^0(x)$ ,  $j = 1, 2, 3$ , satisfy the  $su(2)$  algebra (at equal times):  $[Q_{m,j}(t), Q_{m,k}(t)] = i \epsilon_{jkl} Q_{m,l}(t)$ .

– The Casimir operator is proportional to the total charge:  $C_m = \frac{1}{2}Q$ .

•  $Q_{m,3}$  is conserved  $\Rightarrow$  charge conserved separately for  $\nu_1$  and  $\nu_2$ :

$$Q_1 = \frac{1}{2}Q + Q_{m,3}$$

$$Q_2 = \frac{1}{2}Q - Q_{m,3}$$

–  $2Q_{m,2}(t)$  is the generator of the mixing transformations.

## The currents in the flavor basis

Let us consider the Lagrangian written in the flavor basis

$$\mathcal{L} = \bar{\Psi}_f (i \not{\partial} - M) \Psi_f$$

where  $\Psi_f^T = (\nu_e, \nu_\mu)$  and  $M = \begin{pmatrix} m_e & m_{e\mu} \\ m_{e\mu} & m_\mu \end{pmatrix}$ .

Consider the  $SU(2)$  transformation:

$$\Psi'_f = e^{i\alpha_j \tau_j} \Psi_f \quad j = 1, 2, 3.$$

with  $\tau_j = \sigma_j/2$  and  $\sigma_j$  being the Pauli matrices.

We have

$$\delta\mathcal{L} = i\alpha_j \bar{\Psi}_f [\tau_j, M] \Psi_f = -\alpha_j \partial_\mu J_{f,j}^\mu,$$

$$J_{f,j}^\mu = \bar{\Psi}_f \gamma^\mu \tau_j \Psi_f$$

- The charges  $Q_{f,j} \equiv \int d^3x J_{f,j}^0$ ,  $j = 1, 2, 3$ , close the  $su(2)$  algebra.
- $Q_{f,3}$  is not conserved  $\Rightarrow$  exchange of charge between  $\nu_e$  and  $\nu_\mu$ .

Define the flavor charges as:

$$Q_e(t) \equiv \frac{1}{2}Q + Q_{f,3}(t)$$

$$Q_\mu(t) \equiv \frac{1}{2}Q - Q_{f,3}(t)$$

where  $Q_e(t) + Q_\mu(t) = Q$ .

- The flavor charges are related in a simple way to the Noether charges  $Q_i$ :

$$Q_\sigma(t) = G_\theta^{-1}(t) Q_i G_\theta(t)$$

with  $(\sigma, i) = (e, 1), (\mu, 2)$ .

The flavor charges take the form ( $\sigma = e, \mu$ ):

$$Q_\sigma(t) = \int d^3\mathbf{x} \nu_\sigma^\dagger(x) \nu_\sigma(x) = \sum_{\mathbf{k}, r} \left( \alpha_{\mathbf{k}, \sigma}^{r\dagger}(t) \alpha_{\mathbf{k}, \sigma}^r(t) - \beta_{-\mathbf{k}, \sigma}^{r\dagger}(t) \beta_{-\mathbf{k}, \sigma}^r(t) \right) .$$

- Electron neutrino state with definite momentum and helicity:

$$\begin{aligned} |\nu_{\mathbf{k}, e}^r\rangle &\equiv \alpha_{\mathbf{k}, e}^{r\dagger}(0) |0\rangle_{e, \mu} \\ &= \left[ \cos\theta \alpha_{\mathbf{k}, 1}^{r\dagger} + |U_{\mathbf{k}}| \sin\theta \alpha_{\mathbf{k}, 2}^{r\dagger} - \epsilon |V_{\mathbf{k}}| \sin\theta \alpha_{\mathbf{k}, 1}^{r\dagger} \alpha_{\mathbf{k}, 2}^{r\dagger} \beta_{\mathbf{k}, 1}^{r\dagger} \right] \prod_{\mathbf{p} \neq \mathbf{k}} G_{\mathbf{p}}^{-1}(\theta, t) |0\rangle_{1, 2} . \end{aligned}$$

We then have:

$${}_{e, \mu} \langle 0 | Q_\sigma(t) | 0 \rangle_{e, \mu} = 0$$

$$\langle \nu_{\mathbf{k}, e}^r | Q_\sigma(t) | \nu_{\mathbf{k}, e}^r \rangle = \left| \left\{ \alpha_{\mathbf{k}, \sigma}^r(t), \alpha_{\mathbf{k}, e}^{r\dagger}(0) \right\} \right|^2 + \left| \left\{ \beta_{-\mathbf{k}, \sigma}^{r\dagger}(t), \alpha_{\mathbf{k}, e}^{r\dagger}(0) \right\} \right|^2$$

- The neutrino oscillations can be described by the flavor charges

$$\hat{Q}_e(t) := \int d^3x \hat{\nu}_e^\dagger(x) \hat{\nu}_e(x) \quad \hat{Q}_\mu(t) := \int d^3x \hat{\nu}_\mu^\dagger(x) \hat{\nu}_\mu(x).$$

- The expectation values of the charges yield the probabilities

$$\begin{aligned} \mathcal{P}_{\nu_e \rightarrow \nu_e} &:= {}_{e,\mu} \langle \nu_e(\theta, 0) | \hat{Q}_e(t) | \nu_e(\theta, 0) \rangle_{e,\mu} \\ &= \left| \{ a_{\mathbf{p},e}^r(t), a_{\mathbf{p},e}^{r\dagger}(0) \} \right|^2 + \left| \{ b_{-\mathbf{p},e}^{r\dagger}(t), a_{\mathbf{p},e}^{r\dagger}(0) \} \right|^2 \\ \mathcal{P}_{\nu_e \rightarrow \nu_\mu} &:= {}_{e,\mu} \langle \nu_e(\theta, 0) | \hat{Q}_\mu(t) | \nu_e(\theta, 0) \rangle_{e,\mu} \\ &= \left| \{ a_{\mathbf{p},\mu}^r(t), a_{\mathbf{p},e}^{r\dagger}(0) \} \right|^2 + \left| \{ b_{-\mathbf{p},\mu}^{r\dagger}(t), a_{\mathbf{p},e}^{r\dagger}(0) \} \right|^2. \end{aligned}$$

- Neutrino oscillation formula (exact result)\*:

$$\langle \nu_{\mathbf{k},e}^r | Q_e(t) | \nu_{\mathbf{k},e}^r \rangle = 1 - |U_{\mathbf{k}}|^2 \sin^2(2\theta) \sin^2\left(\frac{\omega_{k,2} - \omega_{k,1}}{2} t\right) - |V_{\mathbf{k}}|^2 \sin^2(2\theta) \sin^2\left(\frac{\omega_{k,2} + \omega_{k,1}}{2} t\right)$$

$$\langle \nu_{\mathbf{k},e}^r | Q_\mu(t) | \nu_{\mathbf{k},e}^r \rangle = |U_{\mathbf{k}}|^2 \sin^2(2\theta) \sin^2\left(\frac{\omega_{k,2} - \omega_{k,1}}{2} t\right) + |V_{\mathbf{k}}|^2 \sin^2(2\theta) \sin^2\left(\frac{\omega_{k,2} + \omega_{k,1}}{2} t\right)$$

– Pontecorvo formula approximately reobtained for  $k \gg \sqrt{m_1 m_2}$ .

- Space-dependent oscillation formula from flavor current†.

\*M.Blasone, P.Henning and G.Vitiello, Phys. Lett. **B** (1999).

†M.Blasone, P. Pires Pachêco and H. Wan Chan Tseung, Phys. Rev. **D**, (2003).



- The final result:

$$\mathcal{P}_{\nu_e \rightarrow \nu_e} = 1 - \sin^2(2\theta) \left[ U_p^2 \sin^2 \left( \frac{E_2 - E_1}{2} t \right) + V_p^2 \sin^2 \left( \frac{E_2 + E_1}{2} t \right) \right]$$

$$\mathcal{P}_{\nu_e \rightarrow \nu_\mu} = \sin^2(2\theta) \left[ U_p^2 \sin^2 \left( \frac{E_2 - E_1}{2} t \right) + V_p^2 \sin^2 \left( \frac{E_2 + E_1}{2} t \right) \right]$$

- For large momenta

$$\mathbf{p} \gg \sqrt{m_1 m_2} \quad U_p \rightarrow 1, V_p \rightarrow 0$$

⇒ For realistic experiments the probabilities reduce to the standard ones

- Flavor states above defined are eigenstates of flavor charges:

$$|\nu_{\mathbf{k},\sigma}^r\rangle \equiv \alpha_{\mathbf{k},\sigma}^{r\dagger}(0) |0\rangle_{e,\mu} \quad ; \quad |\bar{\nu}_{\mathbf{k},\sigma}^r\rangle \equiv \beta_{\mathbf{k},\sigma}^{r\dagger}(0) |0\rangle_{e,\mu}$$

$$Q_\sigma(0) |\nu_{\mathbf{k},\sigma}^r\rangle = + |\nu_{\mathbf{k},\sigma}^r\rangle \quad ; \quad Q_\sigma(0) |\bar{\nu}_{\mathbf{k},\sigma}^r\rangle = - |\bar{\nu}_{\mathbf{k},\sigma}^r\rangle, \quad \sigma = e, \mu .$$

- This is *not* true for QM (Pontecorvo) states:

$$|\nu_e\rangle_P = \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle$$

which thus are not properly defined as states with definite flavor:

$${}_P\langle\nu_e|Q_e|\nu_e\rangle_P \neq 1$$

- We can define a Fock space for flavor neutrinos, which is
  - time-dependent
  - unitarily inequivalent to the Fock space for mass neutrinos
- The oscillation formula can be derived and
  - includes an additional term
  - for  $p \gg \sqrt{m_1 m_2}$  recovers the standard formula

## For further reading

- M. Blasone and G. Vitiello: hep-ph/9501263
- M. Blasone, P.A. Henning and G. Vitiello: hep-th/9803157
- M. Blasone, P. Jizba and G. Vitiello: hep-th/0103087

Consider now the momentum operator for the definite mass fields:

$$\begin{aligned}\mathbf{P}_j &= -i \int d^3\mathbf{x} \psi_j^\dagger(x) \nabla \psi_j(x) \\ &= \sum_r \int d^3\mathbf{k} \mathbf{k} \left( \alpha_{\mathbf{k},j}^{r\dagger} \alpha_{\mathbf{k},j}^r + \beta_{\mathbf{k},j}^{r\dagger} \beta_{\mathbf{k},j}^r \right) \quad , \quad j = 1, 2.\end{aligned}$$

and for the flavor fields:

$$\begin{aligned}\mathbf{P}_\sigma(t) &= -i \int d^3\mathbf{x} \psi_\sigma^\dagger(x) \nabla \psi_\sigma(x) \\ &= \sum_r \int d^3\mathbf{k} \mathbf{k} \left( \alpha_{\mathbf{k},\sigma}^{r\dagger}(t) \alpha_{\mathbf{k},\sigma}^r(t) + \beta_{\mathbf{k},\sigma}^{r\dagger}(t) \beta_{\mathbf{k},\sigma}^r(t) \right) \quad , \quad \sigma = e, \mu\end{aligned}$$

We have:

$$\mathbf{P}_\sigma(t) = G_\theta^{-1}(t) \mathbf{P}_j G_\theta(t) \quad , \quad (\sigma, j) = (e, 1), (\mu, 2).$$

$$\sum_\sigma \mathbf{P}_\sigma(t) = \sum_j \mathbf{P}_j = \mathbf{P} \quad ; \quad [\mathbf{P}, G_\theta(t)] = 0 \quad ; \quad [\mathbf{P}, H] = 0$$

- Flavor states are eigenstates of the momentum operator:

$$\mathbf{P}_\sigma(0) |\nu_{\mathbf{k},\sigma}^r\rangle = \mathbf{k} |\nu_{\mathbf{k},\sigma}^r\rangle$$

Consider then the Hamiltonian for the definite mass fields:

$$\begin{aligned}
 H_j &= i \int d^3\mathbf{x} \psi_j^\dagger(x) \partial_0 \psi_j(x) \\
 &= \sum_r \int d^3\mathbf{k} \left( \alpha_{\mathbf{k},j}^{r\dagger}(t) \partial_0 \alpha_{\mathbf{k},j}^r(t) + \beta_{\mathbf{k},j}^r(t) \partial_0 \beta_{\mathbf{k},j}^{r\dagger}(t) \right) \\
 &= \sum_r \int d^3\mathbf{k} \omega_{k,j} \left( \alpha_{\mathbf{k},j}^{r\dagger} \alpha_{\mathbf{k},j}^r - \beta_{\mathbf{k},j}^r \beta_{\mathbf{k},j}^{r\dagger} \right) \quad , \quad j = 1, 2.
 \end{aligned}$$

and for the flavor fields:

$$\begin{aligned}
 H_\sigma(t) &= i \int d^3\mathbf{x} \psi_\sigma^\dagger(x) \partial_0 \psi_\sigma(x) \\
 &= \sum_r \int d^3\mathbf{k} \left( \alpha_{\mathbf{k},\sigma}^{r\dagger}(t) \partial_0 \alpha_{\mathbf{k},\sigma}^r(t) + \beta_{\mathbf{k},\sigma}^r(t) \partial_0 \beta_{\mathbf{k},\sigma}^{r\dagger}(t) \right) \quad , \quad \sigma = e, \mu
 \end{aligned}$$

We have:

$$\begin{aligned}
 H_\sigma(t) &\neq G_\theta^{-1}(t) H_j G_\theta(t) \quad , \quad (\sigma, j) = (e, 1), (\mu, 2). \\
 \sum_\sigma H_\sigma(t) &= \sum_j H_j = H \quad ; \quad [H, G_\theta(t)] \neq 0
 \end{aligned}$$

Non-trivial result since  $H$  and  $G_\theta$  do not commute.